KWPN REGISTRATION REGULATIONS

Article 1 - General

- 1. These regulations apply to horses in the breeding direction of riding horse (and the disciplines of dressage and jumping within the breeding direction of riding horse) as well as to the breeding direction of harness horse and the breeding direction of Gelder horse.
- 2. Foals and horses are registered with due observance of the articles of association and regulations of the KWPN, including the provisions of Articles 6 and 7 of the General Regulations. Only a member of the KWPN can have a horse registered with the KWPN and subsequently have the registered horse's data and personal data changed.
- 3. These regulations are adopted and amended by the Members' Council.
- 4. In cases not provided for by these regulations, the Governing Board shall decide.
- 5. The KWPN-NA is the licensee of the KWPN in North America. Horses registered with the North American department have the same status as horses registered with the KWPN, with the exception of licensed stallions. KWPN-NA licensed stallions have the same status as stallions approved by recognised studbooks.

Article 2 - Breeding directions and disciplines

- 1. The KWPN uses the following breeding directions for warmblood horses:
 - a. Riding horse, referred to as: RH;
 - b. Harness horse, referred to as: HH;
 - c. Gelder horse, referred to as: GH.
- The breeding direction of Riding Horse is divided into two disciplines, namely:
 - a. Dressage horse, referred to as: DH;
 - b. Jumper, referred to as: J.
- 3. The KWPN does not register full English Thoroughbreds or full Hackneys, unless they are approved stallions, approved under the Selection Regulations.

Article 3 - Books and registers

- 1. The KWPN maintains the following books and registers:
 - a. Foal book;
 - b. Studbook;
 - c. Register A;
 - d. Register B.
- 2. Articles 8 to 17 inclusive of these regulations and the KWPN Registration Diagram enclosed with these regulations stipulate in which book or register a horse will be registered.

Article 4 - Breeder and registered party

- 1. For each horse, one (or more) breeder(s) is (are) registered, i.e. the person(s) who, on the date of covering or insemination of a mare, leading to the birth of a foal, is registered with the KWPN as the registered breeder of the mare concerned.
- 2. For each horse, at least one registered party must be registered. If another member is demonstrably involved with a horse, such other member may also be registered as a registered party. The registered party is responsible for the rights and obligations arising from the registration of the horse.
- 3. The registration of (a) registered party(ies) takes place in accordance with the Privacy Regulations for the internal administration of the KWPN in connection with the rights and obligations associated with the registration of the horse. By submitting a request for registration in one of the KWPN registers, the registered party declares that he/she is familiar with and agrees to the applicable provisions and decisions as set out in these regulations.
- 4. Fees for registrations and for the issuing of registration certificates and passports shall be charged to the registered party in accordance with the list of rates adopted by the Members' Council or the rates determined by the Governing Board.
- 5. A request for a change of a registered party can only be granted after submission of the original registration certificate to the studbook office or through digital notification via the self-service portal, confirmed by the subsequent submission of the registration certificate. As proof of the transfer, the KWPN will issue the registration certificate to the new registered party after the change of name.
- 6. Registered parties and breeders must report the export of a horse registered with the KWPN to the studbook office. The studbook office keeps a record of the country of destination.

Article 5 - Data

- 1. Upon registration or entry in one of the books or registers, the following data of each horse will be recorded as much as possible:
 - a. Name and registration number;
 - b. Gender;
 - c. Breeding direction;
 - d. Discipline within the breeding direction of riding horse;
 - e. Colour and markings;
 - f. Height (at the withers) of horses of 3 years old and older;
 - g. Year, date and place of birth;
 - h. Date of death;
 - i. Studbook registration (including the date) /year of approval or recognition;
 - j. Pedigree of at least two generations;
 - k. Percentage of refinement blood;
 - I. Name, address and place of the breeder;
 - m. Name, address and place of the registered party;
 - n. The foals born of the stallion or the mare and registered with the KWPN, stating their dam or sire, predicates and year of birth;
 - Measurements, observations and evaluations established or awarded on the basis of the KWPN Selection Regulations;
 - p. Predicates awarded by the KWPN;
 - q. The results of a pedigree verification conducted by the KWPN, including the DNA profile;
 - r. The results of a radiologic examination and an examination of the respiratory system, provided that these are conducted in accordance with the provisions of the KWPN Veterinary Regulations;
 - s. The results of a KWPN aptitude test;
 - t. The highest recorded sport result;
 - u. The KWPN breeding value estimate for various characteristics and the corresponding indices;
 - v. The first and last covering date of a mare in a calendar year provided for registration at the KWPN;
 - w. Results achieved in competitions for young horses;
 - x. The transponder number;
 - y. Birth after embryo transfer, intracellular sperm injection (ICSI) or cloning.

Article 6 - Data management

- 1. The data collected in the context of registration is managed by the KWPN.
- 2. The data is used for the identification of horses and to achieve the objectives of the KWPN. The Governing Board is authorised to use the data for other purposes, unless this is explicitly prohibited by other regulations of the KWPN.
- 3. The KWPN processes and records registration data with the utmost care.
- 4. The registered party(ies) and breeder(s) of registered horses must provide correct and complete details. The KWPN is authorised to verify the information provided to it by (a) registered party(s), breeder(s) or others, or to demand further information, proof or statements.
- 5. The Governing Board may withhold or refuse the registration of a horse if the information referred to in Article 4 is incorrect or incomplete.
- 6. The Governing Board may cancel or amend the registration of a horse if it appears that the registration was based on incorrect or incomplete information.

Article 7 - Recognised studbooks

- 1. The KWPN recognises other studbooks that:
 - a. have been approved by the competent authority in the country of establishment, and
 - b. have a breeding goal for warmblood horses that is very similar and equivalent to the KWPN breeding goal, and
 - c. are members of the World Breeding Federation for Sport Horses (WBFSH).
- 2. The Governing Board is authorised to add studbooks to the list of recognised studbooks for the purpose of the refinement or improvement of the KWPN horse or on the basis of a close relationship between the populations, especially with regard to the mares.
- 3. The list of KWPN-recognised studbooks will be updated annually and published on the KWPN website.
- 4. Studbooks may be recognised for the breeding direction of Harness Horse if they have a breeding goal that is very similar to the KWPN's breeding goal for Harness Horses and which can contribute to the improvement of KWPN breeding in the context of variation in breeding.
 - The following studbooks are recognised: Dutch Hackney Studbook (large sized Hackney horses), Hackney Horse Society England (large sized Hackney horses), Hackney Horse Society England (large sized Hackney horses), American Saddlebred Horse Association United States.

Article 8 - Foal Book riding horse, disciplines of dressage and jumping

- In the Foal Book for the breeding direction of riding horse, disciplines of dressage or jumping, horses are registered:
 - a. whose sire is approved or recognised by the KWPN, and
 - b. whose dam is registered with the KWPN or with a KWPN-recognised studbook, or is an English Thoroughbred, and
 - c. of which at least one of the parents is approved, recognised or registered in the breeding direction of riding horse, disciplines of dressage or jumping.
- 2. In the Foal Book for the breeding direction of riding horse, disciplines of dressage or jumping, horses are also registered:
 - a. whose sire is approved by a recognised studbook, and
 - b. whose sire meets the standards for the PROK predicate or whose sire meets the standards for the D-OC predicate and for a X-ray examination of the radial bone and bone spavin as described in the Veterinary Regulations, and
 - c. whose sire has demonstrably performed at Grand Prix level (jumping at least once at Grand Prix level with a minimum height of 1.50m at a CSI*** with a maximum of four penalty points. Dressage at least once at Grand Prix level at a CDI with a minimum score of 65%) or whose sire is a proven sire in his 'own' breeding area (a sport index with a positive deviation of twice the standard deviation and a reliability percentage of more than 75% for two consecutive years) or at least six of the offspring of the stallion concerned are listed in the WBFSH rankings in the disciplines of jumping or dressage, and
 - d. whose dam is registered with the KWPN or with a KWPN-recognised studbook, or is an English Thoroughbred, and
 - e. of which at least one of the parents is approved, recognised or registered in the breeding direction of riding horse, disciplines of dressage or jumping.
- 3. If the dam of the horse to be registered is registered in Register B, the sire of the dam must be approved, recognised or registered in the KWPN Foal Book and must belong to the breeding direction of riding horse or the disciplines of dressage or jumping for the horse to be eligible for registration in the Foal Book, otherwise the horse will be registered in Register B.
- 4. From 2006, registration in the breeding direction of riding horse takes place in the disciplines of dressage or jumping, at the option of the breeder. If the breeder does not make an unambiguous choice, the foal will be registered in the discipline of the highest expected value.
- 5. If one of the parents of the horse to be registered is registered in the breeding direction of Gelder horse, the horse will be registered in the breeding direction of Gelder horse or in the breeding direction of riding horse, at the option of the breeder.
- 6. If one of the parents of the horse to be registered is registered in the breeding direction of harness horse, the horse will be registered in the breeding direction of harness horse or in the breeding direction of riding horse, at the option of the breeder.

Article 9 - Foal Book harness horse

- 1. In the Foal Book for the breeding direction of harness horse, horses are registered:
 - a. whose sire is approved or recognised by the KWPN for the breeding direction of harness horse and whose dam is registered with the KWPN in the breeding direction of harness horse, riding horse or Gelder horse or with a KWPN-recognised studbook for the breeding direction of harness horse, riding horse or Gelder horse or is a large sized Hackney;
 - b. whose sire is approved or recognised by the KWPN for the breeding direction of Gelder horse or riding horse and whose dam is registered in the breeding direction of harness horse or with a KWPN-recognised studbook for the breeding direction of harness horse.
- 2. In the Foal Book for the breeding direction of harness horse, horses are also registered:
 - a. whose father is approved by a recognised studbook for the breeding direction of riding horse, and
 - b. whose sire meets the standards for the PROK predicate or whose sire meets the standards for the D-OC predicate and for the X-ray examination of radial bone and bone spavin as described in the Veterinary Regulations, and
 - c. whose sire has demonstrably performed at Grand Prix level (jumping at least once at Grand Prix level with a minimum height of 1.50m at a CSI*** with a maximum of four penalty points. Dressage at least once at Grand Prix level at a CDI with a minimum score of 65%) or whose sire is a proven sire in his 'own' breeding area (a sport index with a positive deviation of twice the standard deviation and a reliability percentage of more than 75% for two consecutive years) or at least six of the offspring of the stallion concerned are listed in the WBFSH rankings in the disciplines of jumping or dressage, and
 - d. whose dam is registered with the KWPN in the breeding direction of harness horse, or with a KWPN-recognized studbook in the breeding direction of harness horse.
- 3. a. If the dam of the horse to be registered is registered in Register B, the dam's sire must be approved, recognised or registered in the KWPN Foal Book or be a large sized Hackney stallion or an American Saddlebred stallion for the horse to be eligible for registration in the Foal Book, otherwise the horse will be registered in Register B.
 - b. If the dam of the horse to be registered is registered with a non-recognised studbook, but could have been registered in Register B in view of its pedigree, the dam's sire must be approved, recognised or

registered with the KWPN Foal Book, or be a large sized Hackney stallion or an American Saddlebred stallion for the horse to be eligible for registration in the Foal Book, otherwise the horse will be registered in Register B.

- c. If the dam of the horse to be registered is registered in Register B but does not meet the requirements of paragraph 2.A or B, the dam's pedigree must be an experiment in variation in breeding approved by the Governing Board for the horse to be eligible for registration in the Foal Book, otherwise the horse will be registered in Register B.
- 4. If one of the parents of the horse to be registered is registered in the breeding direction of Gelder horse, the horse will be registered in the breeding direction of Gelder horse or in the breeding direction of harness horse, at the option of the breeder.
- 5. If one of the parents of the horse to be registered is registered in the breeding direction of riding horse, the horse will be registered in the breeding direction of harness horse or in the breeding direction of riding horse, at the option of the breeder.
- 6. For registration in the Foal Book in the breeding direction of harness horse, the horse to be registered may carry a maximum of 75% American Saddlebred and/or Hackney blood calculated on the basis of its parents and grandparents.

Article 10 - Foal Book Gelder horse

- 1. In the Foal Book for the breeding direction of Gelder horse, horses are registered:
 - a. whose sire is approved or recognised by the KWPN, and
 - b. whose dam is registered with the KWPN or with a KWPN-recognised studbook for the breeding direction of Gelder horse, and
 - c. of which at least one of the parents is approved, recognised or registered in the breeding direction of Gelder horse.
- 2. If the dam of the horse to be registered is registered in Register B, the sire of the dam must be approved, recognised or registered with the KWPN Foal Book for the horse to be eligible for registration in the Foal Book, otherwise the horse will be registered in Register B.
- 3. For registration in the Foal Book in the breeding direction of Gelder horse, the horse to be registered must carry 12½% refinement blood of which a maximum of 6¼% must be (large sized) Hackney blood or American Saddlebred blood.
- 4. If one of the parents of the horse to be registered is registered in the breeding direction of riding horse or in the breeding direction of harness horse, the horse will be registered in the breeding direction of Gelder horse or in the breeding direction of riding horse or in the breeding direction of harness horse, at the option of the breeder.

Article 11 - Register A riding horse, disciplines of dressage and jumping

- 1. In Register A for the breeding direction of riding horse, disciplines of dressage or jumping, horses are registered:
 - a. whose sire is approved by a KWPN-recognised studbook or whose sire is ranked in the top 250 in the final WBFSH year ranking for jumping or dressage, or whose sire is ranked from 11 to 100 in the WBFSH sire ranking for dressage or jumping, and
 - b. whose dam is registered with the KWPN or with a KWPN-recognised studbook, or is an English Thoroughbred, and
 - c. of which at least one of the parents is approved, recognised or registered in the breeding direction of riding horse or the disciplines of dressage or jumping.
 - d. Excluded are offspring of stallions registered as foals with a studbook not recognised by the KWPN, namely the Asociación Nacional De Criadores De Caballos de Pura Raza Española (ANCCE), Associação Brasileira De Criadores do Cavalo Puro Sangue Lusitano (ABPSL), Associacão Portuguesa De Criadores do Cavalo Puro Sangue Lusitano (APSL), and the Koninklijk Friesch Paarden Stamboek (KFPS), and/or which have bloodlines originating from these studbooks.
- 2. If the dam of the horse to be registered is registered in Register B, then the sire of the dam must be approved, recognised or registered in the KWPN Foal Book and must belong to the breeding direction of riding horse or the disciplines of dressage or jumping in order for the horse to be eligible for registration in the Foal Book, otherwise the horse will be registered in Register B.
- 3. From 2006, registration in the breeding direction of riding horse takes place in the disciplines of dressage or jumping at the option of the breeder. If the breeder does not make an unambiguous choice, the KWPN will choose on the basis of the expected value; the foal will then be registered in the discipline with the highest expected value.
- 4. If one of the parents of the horse to be registered is registered in the breeding direction of Gelder horse, the horse will be registered in the breeding direction of riding horse or in the breeding direction of Gelder horse, at the option of the breeder.
- 5. In Register A of the breeding direction of riding horse, disciplines of dressage, horses are registered:
 - a. whose sire is approved or recognised by the KWPN, and
 - b. whose dam is registered with Lusitano (Associação Portuguesa De Criadores do Cavalo Puro Sangue Lusitano) or with the P.R.E. (Asociacion Nacional De Criadores De Caballos de Pura Raza Española).

7. If one of the parents of the horse to be registered is registered in the breeding direction of harness horse, the horse will be registered in the breeding direction of harness horse or in the breeding direction of riding horse, at the option of the breeder.

Article 12 - Register A harness horse

- 1. In Register A of the breeding direction of harness horse, horses are registered:
 - a. whose sire is approved by a KWPN-recognised studbook for the breeding direction of harness horse or the breeding direction of Gelder horse and whose dam is registered with the KWPN in the breeding direction of harness horse, riding horse or Gelder horse, or with a KWPN-recognised studbook for the breeding direction of harness horse or riding horse or is a large sized Hackney;
 - b. whose sire is approved by a KWPN-recognised studbook for the breeding direction of riding horse and whose dam is registered with the KWPN in the breeding direction of harness horse or with a KWPN-recognised studbook for the breeding direction of harness horse or is a large sized Hackney;
 - c. whose sire is approved by a studbook for large sized Hackneys and whose dam is registered with the KWPN in the breeding direction of harness horse or with a KWPN-recognised studbook for the breeding direction of harness horse;
 - d. whose sire is approved by a KWPN-recognised studbook for the breeding direction of Gelder horse and whose dam is registered with a KWPN-recognised studbook for the breeding direction of harness horse.
- 2a. If the dam of the horse to be registered is registered in Register B, the dam's sire must be approved, recognised or registered with the KWPN Foal Book or be a large sized Hackney stallion or an American Saddlebred stallion for the horse to be eligible for registration in Register A, otherwise the horse will be registered in Register B.
- 2b. If the dam of the horse to be registered is registered with a non-recognised studbook, but could have been registered in Register B in view of its pedigree, the dam's sire must be approved, recognised or registered with the KWPN Foal Book, or be a large sized Hackney stallion or an American Saddlebred stallion for the horse to be eligible for registration in Register A of the Foal Book, otherwise the horse will be registered in Register B.
- 2c. If the dam of the horse to be registered is registered in Register B but does not meet the requirements of paragraph 2.A or B, the dam's pedigree must be an experiment in variation in breeding approved by the Governing Board for the horse to be eligible for registration in Register A of the Foal Book, otherwise the horse will be registered in Register B.
- 3. If the horse to be registered does not comply with the above, the Governing Board may decide to register the horse in Register A as an experiment in the context of variation in breeding.
- 4. For registration in Register A of the breeding direction of harness horse, the horse to be registered may carry a maximum of 75% American Saddlebred and/or Hackney blood, calculated on the basis of its parents and grandparents.
- 5. If one of the parents of the horse to be registered is registered in the breeding direction of Gelder horse, the horse will be registered in the breeding direction of Gelder horse or in the breeding direction of harness horse, at the option of the breeder.
- 6. If one of the parents of the horse to be registered is registered in the breeding direction of riding horse, the horse will be registered in the breeding direction of riding horse or in the breeding direction of harness horse, at the option of the breeder.

Article 13 - Register A Gelder horse

- 1. In Register A of the breeding direction of Gelder horse, horses are registered:
 - a. whose sire is approved by a KWPN-recognised studbook, and
 - b. whose dam is registered with the KWPN or with a KWPN-recognised studbook for the breeding direction of Gelder horse or the breeding direction of harness horse, and
 - c. of which at least one of the parents is approved, recognized or registered in the breeding direction of Gelder horse.
- 2. If the dam of the horse to be registered is registered in Register B, the dam's sire must be approved, recognised or registered with the KWPN Foal Book for the horse to be eligible for registration in Register A, otherwise the horse will be registered in Register B.
- 3. If the horse to be registered has a percentage of refinement blood of more than 12½% and less than or equal to 25%, the horse will be registered in Register A of the Gelder horse. These horses can be registered in the studbook, breeding direction of Gelder horse, provided that the other conditions for registration in the studbook as described in the selection regulations are fulfilled.
- 4. If one of the parents of the horse to be registered is registered in the breeding direction of riding horse, the horse will be registered in the breeding direction of Gelder horse or in the breeding direction of riding horse, at the option of the breeder.
- 5. If the sire of the horse to be registered is registered in the breeding direction of harness horse, then the horse will be registered in the breeding direction of Gelder horse or in the breeding direction of harness horse, at the option of the breeder.
- 6. If the dam of the horse to be registered is registered with a KWPN-recognised studbook for the breeding direction of harness horse, the horse will be registered in the breeding direction of Gelder horse or in the

breeding direction of harness horse, at the option of the breeder.

Article 14 - Register B riding horse, disciplines of dressage and jumping

- 1. In Register B of the breeding direction of riding horse, horses are registered:
 - a. whose sire, in the breeding direction of riding horse, is approved by the KWPN or approved by a KWPN-recognised studbook and the dam is registered with a non-recognised studbook;
 - b. whose sire is on hold in the breeding direction of riding horse of the KWPN, is registered or has an unknown pedigree or is registered with a studbook that is not recognised by the KWPN and whose dam is registered with the KWPN or a recognised studbook or is a thoroughbred;
 - c. whose sire is on hold or registered in the breeding direction of riding horse of the KWPN and whose dam is registered with a non-recognised studbook;
 - d. whose sire is on hold or registered in the breeding direction of harness horse or Gelder horse or has an unknown pedigree or is registered with a non-recognised studbook and whose dam is registered with the KWPN in the breeding direction of riding horse or with a KWPN-recognised studbook in the breeding direction of riding horse;
 - e. whose sire is on hold or registered in the breeding direction of harness horse or Gelder horse and whose dam is registered with a non-recognised studbook for riding horses or is a thoroughbred;
 - f. whose sire is approved or recognised by the KWPN in the breeding direction of harness horse or Gelder horse or is recognised or approved by a recognised studbook and whose dam is registered with a non-recognised studbook for riding horses or is a thoroughbred.
- 2. If the dam is registered in the breeding direction of Gelder horse or is from the Gelder Horse Type and is registered with a studbook that is not recognised by the KWPN or has an unknown pedigree, the horse can be registered in the breeding direction of Gelder horse at the request of the breeder.
- 3. From 2006, registration in the breeding direction of riding horse takes place in the disciplines of dressage or jumping, at the option of the breeder. If the breeder does not make an unambiguous choice, the KWPN will choose on the basis of the expected value; the foal will then be registered in the discipline with the highest expected value.
- 4. Horses whose sire is on hold or has been rejected pursuant to a selection regulation will be registered in Register B, regardless of any approval of the sire by a recognised studbook. This rule only applies to horses conceived on a date later than the date on which the stallion was put on hold or rejected.
- 5. If one of the parents of the horse to be registered is registered in the breeding direction of Gelder horse, the horse will be registered in the breeding direction of riding horse or in the breeding direction of Gelder horse, at the option of the breeder.
- 6. If one of the parents of the horse to be registered is registered in the breeding direction of harness horse, the horse will be registered in the breeding direction of harness horse or in the breeding direction of riding horse, at the option of the breeder.

Article 15 - Register B harness horse

- 1. In Register B of the breeding direction of harness horse, horses are registered:
 - a. whose sire is approved or recognised by the KWPN for the breeding direction of harness horse, Gelder horse or riding horse, or is approved or recognised by a KWPN-recognised studbook, and whose dam is registered with a studbook for the breeding direction of harness horse that is not recognised by the KWPN or has an unknown pedigree;
 - b. whose sire has been put on hold by the KWPN for the breeding direction of harness horse or is registered with the KWPN or has an unknown pedigree and whose dam is registered with the KWPN in the breeding direction of harness horse, riding horse or Gelder horse or with a studbook for large sized Hackney horses or is registered with a recognised studbook for the breeding direction of harness horse, riding horse or Gelder horse;
 - whose sire has been put on hold by the KWPN or is registered with the KWPN for the breeding direction of harness horse and whose dam is registered with a non-recognised studbook for the breeding direction of harness horse;
 - d. whose sire has been put on hold by the KWPN for the breeding direction of Gelder horse or is registered with the KWPN or has an unknown pedigree and whose dam is registered with the KWPN in the breeding direction of harness horse or with a KWPN-recognised studbook in the breeding direction of harness horse;
 - e. whose sire has been put on hold or is registered with the KWPN in the breeding direction of riding horse or has an unknown pedigree and whose dam is registered with the KWPN in the breeding direction of harness horse or with a KWPN-recognised studbook for the breeding direction of harness horse;
 - f. whose sire is approved by a KWPN-recognised studbook for the breeding direction of riding horse, or whose sire ranked among the top 250 in the final WBFSH annual ranking for jumping or dressage horses, or whose sire ranked from 11 to 100 in the WBFSH sire ranking for dressage or jumping, and whose dam is registered with the KWPN or a KWPN-recognised studbook in the breeding direction of harness horse.
- 2. If the dam is registered in the breeding direction of Gelder horse or is from the Gelder Horse Type and is

- registered in a studbook that is not recognised by the KWPN or has an unknown pedigree, the horse can be registered in the breeding direction of Gelder horse at the request of the breeder.
- 3. Horses whose sire is on hold or has been rejected on the grounds of Selection Regulations are registered in Register B, regardless of any approval of the sire by a KWPN-recognised studbook. This rule only applies to horses conceived on a date later than the date on which the stallion was put on hold or rejected.
- 4. Horses to be registered that carry more than 75% American Saddlebred and/or Hackney blood, calculated on the basis of its parents and grandparents are registered in Register B.
- 5. If one of the parents of the horse to be registered is registered in the breeding direction of Gelder horse, the horse will be registered in the breeding direction of Gelder horse or in the breeding direction of harness horse, at the option of the breeder.
- 6. If one of the parents of the horse to be registered is registered in the breeding direction of riding horse, the horse will be registered in the breeding direction of riding horse or in the breeding direction of harness horse, at the option of the breeder.

Article 16 - Register B breeding direction of Gelder horse

- 1. In Register B of the breeding direction of Gelder horse, horses are registered:
 - a. whose sire is approved or recognised by the KWPN or approved by a KWPN-recognised studbook or has been put on hold or registered with the KWPN, and whose dam is registered with a studbook for the breeding direction of Gelder horse that is not recognised by the KWPN or has an unknown pedigree;
 - b. whose sire has been put on hold or is registered with the KWPN in the breeding direction of Gelder horse or has an unknown pedigree and whose dam is registered with the KWPN in the breeding direction of riding horse, harness horse or Gelder horse or is registered with a recognised studbook;
 - c. whose sire has been put on hold or is registered with the KWPN in the breeding direction of riding horse or harness horse or has an unknown pedigree and whose dam is registered with the KWPN in the breeding direction of Gelder horse or is registered with a recognised studbook for the breeding direction of Gelder horse;
 - d. whose sire is approved or recognised in the breeding direction of Gelder horse or approved by a KWPN-recognised studbook or has been put on hold or registered with the KWPN in the breeding direction of Gelder horse and whose dam is registered with a non-recognised studbook for the breeding direction of harness horse.
- 2. If the dam is registered in the breeding direction of riding horse or is from the riding horse type and is registered with a studbook that is not recognised by the KWPN or has an unknown pedigree, the horse can be registered in the breeding direction of riding horse at the request of the breeder.
- 3. Horses whose sire is on hold or has been rejected pursuant to Selection Regulations are registered in Register B, regardless of any approval of the sire by a KWPN-recognised studbook. This rule only applies to horses conceived on a date later than the date on which the stallion was put on hold or rejected.
- 4. If the horse to be registered has a percentage of refinement blood of more than 25%, it will be registered in the breeding direction of riding horse.
- 5. If one of the parents of the horse to be registered is registered in the breeding direction of riding horse, the horse will be registered in the breeding direction of Gelder horse or in the breeding direction of riding horse, at the option of the breeder.
- 6. If the sire of the horse to be registered is registered in the breeding direction of harness horse, the horse will be registered in the breeding direction of Gelder horse or in the breeding direction of harness horse, at the option of the breeder.
- 7. If the dam of the horse to be registered is registered with a KWPN-recognised studbook for the breeding direction of harness horse, the horse will be registered in the breeding direction of Gelder horse or in the breeding direction of harness horse, at the option of the breeder.

Article 17 - Studbook

- 1. Inclusion or registration in the studbook register is subject to compliance with the terms and conditions applicable to the breeding direction or discipline in which the horse is registered, as included in the Selection Regulations for mares, foals and geldings and the Selection Regulations for stallions. Registration in the studbook shall only take place when all the terms and conditions, including any applicable additional criteria, have been complied with. Registration in the Foal Book or in Register A is cancelled by registration in the studbook.
- 2. Stallions registered in the studbook and approved stallions are placed on a list of KWPN-approved stallions.
- 3. (A) registered party(s) of stallions listed in the studbook or approved stallions and recognised stallions are required to pay a covering fee to the KWPN for each mare bred to or inseminated, determined by the Members' Council, and to immediately report any changes concerning the registration, death or export of stallions to the KWPN studbook office.
- 4. The Governing Board is authorised to cancel the registration in the studbook or the recognition of a stallion or a mare, if the result of a test is declared invalid or if, in the opinion of the Governing Board,

the terms and conditions for registration in the studbook are not or no longer complied with.

Article 18 - Change of breeding direction or discipline

- 1. At the request of the registered party, the breeding direction or discipline in which a horse is registered can be changed from the year in which the horse reaches the age of three years, as follows:
 - a. From the breeding direction of riding horse, the discipline of dressage horse or from the discipline of jumper or from the breeding direction of harness horse to the breeding direction of Gelder horse, provided that the test shows that the horse concerned, with regard to exterior, movement and bloodline, fits the breeding direction of Gelder horse as described in the Selection Regulations.
 - b. From the breeding direction of Gelder horse to the breeding direction of Harness Horse, provided that the test shows that the horse concerned, with regard to exterior and movement fits the breeding direction of Harness Horse and one of the parents of the horse concerned is registered in the breeding direction of Harness Horse as described in the Selection Regulations.
 - c. From the breeding direction of Gelder horse or from the breeding direction of riding horse or from the discipline of dressage horse to the discipline of jumper, provided that the inspection or aptitude test shows that the relevant horse's exterior and aptitude for jumping fits the discipline of jumpers, as described in the Selection Regulations.
 - d. From the breeding direction of Gelder horse or from the breeding direction of jumper to the discipline of dressage horse, provided that the inspection or aptitude test shows that the relevant horse's exterior and aptitude for dressage fits the discipline of dressage horses, as described in the Selection Regulations.

Article 19 - Registration number and name

- 1. Each foal or horse to be registered with the KWPN is assigned a unique registration number according to the UELN system of the WBFSH. Once a registration number has been assigned, it cannot be changed. If a horse has previously been registered in another studbook and was assigned a registration number for that studbook, that number is maintained.
- 2. The names of horses registered with the KWPN as foals must start with a letter determined by the Governing Board per year of birth and contain a maximum of 20 characters, including prefix and suffix, if any. The Governing Board may refuse names that show a relationship with a person, that are offensive to persons or groups, that damage the good name and honour of the KWPN or that are deemed inappropriate for other reasons.
- 3. Sport names and sponsor names may only be used on participants' lists and catalogues of competitions. If such names are used, the studbook name shall be stated in brackets after the sport name. Sport names and sponsor names are not used in the books and registers of the KWPN, test catalogues, studbook documents, passports and statistics. The registered party is responsible for buying off any rights attached to the (brand) name.
- 4. The name of a horse can be changed:
 - a. Following a request thereto by a registered party of a horse, provided that no offspring of the horse concerned are registered and the initial letter remains unchanged for horses registered with the KWPN as foals;
 - b. When a stallion is approved by the KWPN for the first time. After consulting with the registered party, the KWPN will decide under which name the stallion will be approved. The initial letter remains the same for horses registered with the KWPN as foals. For approved stallions, including deceased approved stallions, the same name may only occur once;
 - c. If a stallion approved by the KWPN has already been approved by a KWPN-recognised studbook for registration before the first viewing round and offspring are already registered with that studbook, the KWPN will, upon approval of the stallion, register the stallion under the name used in the KWPNrecognised studbook.

Article 20 - Covering and foal registration

- 1. The term covering shall be taken to mean natural covering or insemination or fertilisation by means of other authorised reproduction techniques such as intra cellular sperm injection (ICSI).
- 2. In the context of the registration of covering, the term mare owner refers to the registered party in whose name the mare that has been breed to is registered.
- 3. In the context of the registration of covering, the term stallion owner refers to the registered party or operator of the stallion breeding to the mare on instructions of the mare owner.
- 4. The term "inseminator" in the context of the registration of covering refers to the person who has inseminated the mare on instructions of the mare owner.
- 5. Covering can only be reported by a member of the KWPN.
- Covering can be reported digitally (via the self-service portal) or in writing (stallion service certificate).
 The portal for notification by the stallion owner or inseminator is only accessible with permission from the KWPN.
- 7. Covering can be reported from the time of the covering until the time when the foal is registered.
- 8. Covering must be reported by the owner of the registered mare or on his/her behalf by the stallion

owner or inseminator.

- 9. Covering takes place after an instruction given by the mare owner to the stallion owner or inseminator. The notification of covering shall include:
 - a. Name and registration number and transponder number of the mare;
 - b. Name and registration number of the stallion;
 - c. Name and registration number of the mare;
 - d. Date(s) of (re-)insemination or (re-)covering;
 - e. Where ICSI, embryo transfer or other procedures are concerned, the date of the transfer of the embryo into the surrogate mare.
- 10. Verification of the notification via the mare owner takes place by confirmation by the stallion owner and/or the inseminator, or by DNA research after the birth of the foal. The KWPN decides whether verification has taken place to a sufficient extent.
- 11. At the time of the notification of covering, the mare must be registered in the name of the registered party.
 - Any procedure for the registration of the mare in the name of the registered party must be completed prior to the notification. If a mare is concerned that is not registered with the KWPN, a copy of the registration certificate of the mare must be submitted (digital or print) to the KWPN.
- 12. When reporting the covering by a stallion not registered with the KWPN but approved by a KWPN-recognised studbook, a copy of the registration certificate and proof of approval of the stallion must be submitted (digitally or in print) to the KWPN.
- 13. Notification of covering by a non-approved stallion must take place in writing by means of the form 'proof of covering by a non-approved stallion' (which can be downloaded through the self-service portal or is available at the studbook office), or digitally through foal registration via the self-service portal.
- 14. Based on the notification, the registered party of the mare receives digital proof of the notification and an overview of the data recorded in the self-service portal. The mare's registered party must check this for correctness and completeness. In the event of inaccuracies, the mare owner must report these in writing or digitally to the studbook office. Based on this data, a (digital) birth notification can take place at a later date.
- 15. On request, written proof of the notification and also the birth form can be sent in print. The mare's registered party must check this for correctness and completeness. The birth form can be used to for a written notification of the birth.
- 16. A notification involves costs (covering fee) for the stallion owner.
- 17. The notification is subject to costs (covering fee) for the mare's owner, unless the mare is not in foal or in the event of a dead foal. Notification of non-gestation can take place in writing or digitally, preferably before 1 October of the relevant season. In the event of a notification of non-gestation after 1 October, administration costs may be charged. Notification of non-gestation after receipt of a written birth form will only be considered (including a possible refund of the covering fee) after the original birth form has been returned. The KWPN may request proof of non-gestation before processing the notification of non-gestation. A stillbirth can be reported via the notification of birth procedure. Written proof must be submitted in order to qualify for a refund of the covering fee.
- 18. Notification of a birth can take place digitally (via the self-service portal) or in writing (fully completed and signed birth form).
- 19. Notification of a birth must take place by the registered party of the mother of the born foal.
- 20. Notification of a birth is equivalent to a request for registration and by requesting registration, the registered party declares that they will only register the foal with the KWPN to ensure that only one unique life number is issued per foal.
- 21. After notification of a birth, the mare's registered party receives a confirmation (digitally or in print). This confirmation should be checked for correctness and completeness by the registered party. Any changes in the notification of the foal registration must be reported to the studbook office in writing.
- 22. Notification of a birth takes place on the basis of:
 - a. covering already registered with the KWPN, or
 - b. an original and fully completed and signed covering certificate / birth certificate from another EUrecognised studbook, or
 - c. a request from the registered party; In this case, the pedigree of the foal to be registered must be verified.
- 23. Where a so-called 'uterus lease' is concerned, this agreement must be confirmed by both the registered party of the mare and the person leasing the uterus by means of a written 'uterus lease statement'. This statement confirms the identity of the registered party of the mare and the identities of the breeder and the registered party of the foal. This statement must be signed by both parties.
- 24. Where an embryo transfer or ICSI is concerned, the member who registers the foal is registered as the breeder, unless the parties declare otherwise in writing. The birth notification must include:
 - a. Name and registration number and transponder number of the donor mare;
 - b. Name and registration number of the stallion;
 - c. Name and registration number of the registered party of the donor mare;
 - d. Name and membership number of the stallion's registered party, unless a stallion is concerned which

is not registered with the KWPN. In that case, the name and address of the stallion's owner must be stated:

- e. Name and address of the veterinarian/company performing the procedure;
- f. Date of embryo transfer into the surrogate mare.
- 25. On the instruction of the KWPN, the foal is identified at foot of its dam by a KWPN passport consultant. This must take place before the foal is weaned. A hair sample is also taken to verify the pedigree or for DNA testing, either immediately or at any time thereafter.
- 26. By notifying the birth and applying for registration, the registered party authorises the insertion of a transponder in accordance with the Regulation on Identification and Registration of the competent authority, unless the competent authority has granted a written exemption from the insertion of a transponder, in which case identification of the foal, and at any time thereafter by means of a DNA test for the account of the registered party is mandatory. This written proof must be provided to the studbook office. A transponder must be inserted within 6 months after birth in accordance with the Regulation on Identification and Registration of the competent authority. If a transponder is inserted after 6 months, the horse's passport shall state that the foal is not fit for human consumption in accordance with the regulation referred to above.
- 27. For foals stationed abroad, depending on the legal regulations applicable in the country concerned, a sketch form drawn up by a veterinarian and a hair sample taken by a veterinarian from the dam and the foal for DNA testing, as well as a colour photograph of the foal together with its dam, must be sent to the studbook office by the breeder.
- 28. After identification, a certified registration certificate and a passport in accordance with the Regulation on Identification and Registration of the competent authority will be issued by the KWPN and given on loan to the registered party. The registered party must immediately check these documents and inform the studbook office of any corrections.

Article 21 - Registration certificate

- 1. The registration certificate shall state, insofar as available:
 - a. Name and registration number;
 - b. Gender;
 - c. Breeding direction or discipline within the breeding direction of riding horse;
 - d. Colour and markings;
 - e. Height (at the withers) of horses of 3-year old and older;
 - f. Date and place of birth;
 - g. Studbook listing, approval or recognition;
 - h. Pedigree of at least two generations;
 - i. Percentage of refinement blood;
 - j. Castration date;
 - k. Name, address and place of the breeder;
 - I. Name, address and place of the registered party;
 - m. Top bar awarded on the basis of the KWPN selection regulations;
 - n. Predicates awarded by the KWPN as described in the selection regulations;
 - o. Results of a pedigree verification conducted by the KWPN and/or the DNA pattern;
 - p. Transponder number;
 - q. Birth after embryo transfer;
 - r. Whether it is a duplicate.
- 2. The registration certificate remains the property of the KWPN and must immediately be surrendered to the studbook office on request. In the event of the death of a horse, the registration certificate must be returned to the KWPN studbook office within two weeks of the death. This certificate can be returned to the registered party after processing. A request thereto must be made in writing.
- 3. If a registered party can demonstrate by means of a copy of a police report that a registration certificate issued by the studbook office has been lost or stolen, a duplicate may be issued to the horse's registered party. The KWPN will keep a record of when and to whom a duplicate was issued.
- 4. Changes can only be made to the text or the execution of a registration certificate issued by the KWPN by the director of the KWPN or persons designated by him to that end. Changes made by anyone other than the persons referred to above are prohibited and have no legal effect. The fraudulent use or the unauthorised retention of a registration certificate, horse passport, breeding overview or any other information issued by the KWPN in any way is prohibited and constitutes an offence as referred to in the Disciplinary Regulations.

Article 22 - Passport

1. The issuing of passports and the issuing of duplicate passports are governed by the Regulation on Identification and Registration of the competent authority.

Article 23 - Pedigree verification

- 1. Pedigree verification takes place through DNA testing and/or blood group pattern.
- 2. From 1 January 2018, sire pedigrees are verified for foals presented for registration. In addition, the

pedigree of one or both parents in one or more generations will be verified in the following cases:

- a. Stallions that are designated for the performance test or that are eligible for approval or recognition by the KWPN;
- b. Mares whose son is designated for the performance test or is eligible for approval or recognition by the KWPN;
- Horses whose date of birth differs by more than one month from the expected date of birth as calculated;
- d. Horses for which the notification of covering or insemination is incomplete or only took place after birth, or foals which have been weaned before the foal inspection;
- e. Horses born after embryo transfer or ICSI or cloning;
- f. Horses for which it has been established or strongly indicated that the sire was infertile or whose fertility was severely reduced in the year of conception;
- g. Horses that have a hair colour different from that which would be considered normal given the established pedigree of the horse;
- h. Horses suspected of having been swapped;
- i. Horses whose sire's DNA and/or blood group pattern is not known to the KWPN;
- j. Horses regarding which the registered party or the KWPN has doubts about their pedigree on other grounds;
- k. Horses for which a duplicate registration certificate or duplicate horse passport has been requested;
- I. Horses that have been designated thereto by the KWPN in the context of a random test;
- m.All other horses or groups of horses for which the Governing Board considers pedigree verification necessary to ensure the correctness of the recorded pedigree data.
- 3. The KWPN is authorised to examine blood relatives of the horse concerned to conduct the pedigree verification.
- 4. The costs of the examination of the horses listed under a. and b. and their relatives are for the account of the registered party presenting the stallion for the performance test, studbook registration or approval. The costs of the examination of the horses listed under c. to l. inclusive and their blood relatives are for the account of the applicant for the registration certificate or horse passport.
- 5. The costs of the examinations of the horses listed under m. are for the account of the KWPN, unless the examination gives cause for further investigation. If the further investigation reveals that false or incomplete information was provided deliberately or otherwise, the costs of the initial examination and the further examinations of the horse and its blood relatives shall be for the account of the registered party of the horse to which the examination relates.
- 6. If a registered party refuses to cooperate with such an examination or if it appears during the determination of the DNA and/or blood group pattern that the pattern does not correspond to the stated pedigree, the Governing Board is authorised to act as follows with regard to the horse and its offspring:
 - a. Change the pedigree and breeding direction;
 - b. Register or enter the horse concerned and its offspring in a book or register other than the original book or register;
 - c. Maintain the entry in the studbook, the registration in the Foal Book or in a Register until the required data is obtained;
 - d. Cancel the entry in the studbook, the registration in the Foal Book or in a Register;
 - e. Inform other studbooks, KWPN members and third parties of the findings, whether or not by publication in the official announcements of the KWPN and/or by other means;
 - f. Withdraw or declare invalid any approvals, predicates or performance or competition results obtained.
- 7. If an institution to be designated by the Governing Board concludes on the basis of its examination that the pedigree stated is incorrect, a second opinion may be sought at the request of the registered party. The KWPN must be sent a registered letter requesting the second opinion within fourteen days after the registered party is informed of the results of the first investigation. In this case, DNA and/or blood samples must again be taken from the horses concerned under the supervision of a person to be designated thereto by the KWPN and examined by the same institution. If this second examination does not lead to a solution, then, within fourteen days of publication of the results of the second opinion, another institution not being the institution that conducted the first and the second examinations determined by the Governing Board may, at the request of the registered party, conduct a third examination, whereby at least that part of the examination on which the conclusion of the first and the second examinations was based must be performed. The KWPN will register the horse's pedigree on the basis of the conclusions of the third examination. The costs of the second and third examinations are for the account of the registered party. If the conclusions of the second opinion and the third examination are identical and differ from the conclusions of the first examination, the KWPN will bear the costs.

Article 24 - Decision Governing Board

1. In all cases not provided for in these Regulations, the Governing Board shall decide.

The Governing Board is authorised to extend the time limits stated in these regulations.

Appendix: Registration Diagram